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PATENT
Attorney Docket No.: COOL-01800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth Goodson et al.

Serial No.: 10/698,304

Filed: October 30, 2003

For: **METHOD AND APPARATUS FOR
ACHIEVING TEMPERATURE
UNIFORMITY AND HOT SPOT
COOLING IN A HEAT
PRODUCING DEVICE**

) Group Art Unit: 3743

) Examiner: Terrell L. McKinnon

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 9.30.05

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 9-30-05 By: John D. Roman



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Serial No.: 10/698,304)	
Filed: October 30, 2003)	<u>CERTIFICATION FOR</u>
)	<u>SUPPLEMENTAL INFORMATION</u>
)	<u>DISCLOSURE STATEMENT UNDER 37</u>
)	<u>CFR § 1.97(e)</u>
For: METHOD AND APPARATUS FOR)	
ACHIEVING TEMPERATURE)	162 N. Wolfe Road
UNIFORMITY AND HOT SPOT)	Sunnyvale, CA 94086
COOLING IN A HEAT)	(408) 530-9700
PRODUCING DEVICE)	

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

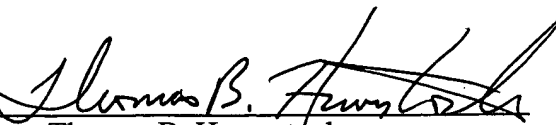
I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on August 9, 2005. **Accordingly, I hereby certify that the reference contained within this supplemental information disclosure statement was not cited in a communication from a foreign patent office in a related foreign application, and to the knowledge of the person signing the statement, after making reasonable inquiry, the reference contained within this supplemental information disclosure statement was not known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 9-30-05

By: 
Thomas B. Haverstock
Reg. No.: 32,571

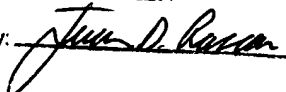
Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP.

Date: 9-30-05 By: 



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 3743
Kenneth Goodson et al.)	Examiner: Terrell L. McKinnon
Serial No.: 10/698,304)	<u>SUPPLEMENTAL INFORMATION</u>
Filed: October 30, 2003)	<u>DISCLOSURE STATEMENT</u>
For: METHOD AND APPARATUS FOR)	162 N. Wolfe Road
ACHIEVING TEMPERATURE)	Sunnyvale, CA 94086
UNIFORMITY AND HOT SPOT)	(408) 530-9700
COOLING IN A HEAT)	
PRODUCING DEVICE)	

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

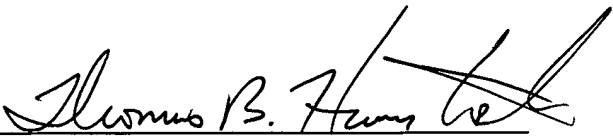
Applicants have become aware of the following printed publication which may be material to the examination of this application:

- Japanese Patent Abstract JP 1-256775.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 9-30-05

By: 
Thomas B. Haverstock
Reg. No.: 32,571

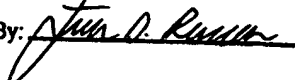
Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.

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FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: COOL-01800

Serial No.: 10/698,304

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

Applicants: Kenneth Goodson et al.

(37 CFR § 1.98(b))

Filing Date: October 30, 2003

Group Art Unit: 3743

U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
	AK	JP 1-256775	10/13/89	JP	F 25 D	9/00	X
	AL						
	AM						
	AN						
	AO						

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AP	
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	AY	
	AZ	

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.